



Docket No. AM5782

#3 6/1/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

ANISUL KHAN et al

Serial No.: 09/957,395

Filed: September 19, 2001

For: A METHOD OF FORMING OPTICAL
WAVEGUIDES IN A SEMICONDUCTOR
SUBSTRATE

PRELIMINARY AMENDMENT

To: Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please enter this Preliminary Amendment.

IN THE SPECIFICATION

Please amend the paragraphs on page 3 lines 12-15 as follows:

[Figs 2a to 2e illustrate the method steps used to make an
optical waveguide in accordance with the invention] Fig. 2 is a
waveguide of the invention.

Figs 3a to 3f illustrate the method steps used to make
[another] an embodiment of an optical waveguide in accordance
with the invention.

A clean copy of the amended paragraphs is attached hereto.

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Entry of the amendments is respectfully requested.

Respectfully submitted,

ANISUL KHAN et al

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Please continue to send all correspondence to

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The undersigned hereby declares that this
correspondence it being deposited as first
class mail in an envelope addressed to the
Assistant Commissioner for Patents,
Washington, DC 20231 on

January 2, 2002

William R. Morris

Name of person making deposit

William R. Morris
Signature